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Docket No. 6279.002/DHE

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c) -- SMALL BUSINESS CONCERN

Applicant or Patentee: Kim L. Richardson  
Serial or Patent No.: 09/322,653  
Filed or Issued: May 28, 1999  
Title: "METHOD FOR CREATING INTERACTIVE ON-LINE MEMORIALS FOR FUNERAL HOMES"

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN MARYBELLE INC.  
ADDRESS OF SMALL BUSINESS CONCERN P. O. Box 8870  
Corpus Christi, TX 78468-8870

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:



- ☒ no such person, concern or organization exists.  
☐ Person, concerns or organizations listed below\*

\* Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ NonProfit Organization

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ NonProfit Organization

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ NonProfit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING \_\_\_\_\_ Kim L. Richardson

TITLE OF PERSON IF OTHER THAN OWNER \_\_\_\_\_ President

ADDRESS OF PERSON SIGNING \_\_\_\_\_ P. O. Box 8870, Corpus Christi, Texas 78468-8870

SIGNATURE \_\_\_\_\_ *Kim Richardson* DATE \_\_\_\_\_ 7/14/99

#3



PATENT

## DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe the below named inventor is an original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "**METHOD FOR CREATING INTERACTIVE ON-LINE MEMORIALS FOR FUNERAL HOMES**" the specification of which was filed on May 28, 1999, having Serial No. 09/322,653.

~~X~~ is filed with this Declaration.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby direct that all correspondence and telephone calls be addressed to Douglas H. Elliott, Tobor, Goldstein & Healey, L.L.P., 1360 Post Oak Blvd., Suite 2300, Houston, Texas 77056, (713) 877-1515.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

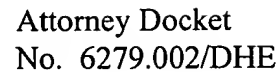
Inventor's Full Name: KIM L. RICHARDSON  
(First) (Initial) (Last)

Inventor's Signature: Kim Richardson

Date: 7/14/99 Country of Citizenship: U.S.A.

Residence Address: 2826 Parkgreen, Corpus Christi, Texas 78414, U.S.A.  
(Include number, street name, city, state, and country)

Post Office Address: 2826 Parkgreen, Corpus Christi, Texas 78414, U.S.A.  
(Include number, street name, city, state, and country)



In re Application of:

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Group Art Unit: 2755

Filed: May 28, 1999

Examiner:

For: METHOD FOR CREATING  
INTERACTIVE ON-LINE  
MEMORIALS FOR FUNERAL HOMES

**ELECTION UNDER 37 C.F.R. §§ 3.71 and 3.73  
AND POWER OF ATTORNEY**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified Application by virtue of an Assignment as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the Application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints Douglas H. Elliott, Reg. No. 32,982; Ben D. Tobor, Reg. No. 27,760; and Edward W. Goldstein, Reg. No. 22,945, each an attorney with the law firm of TOBOR, GOLDSTEIN & HEALEY, L.L.P., as its attorneys so long as they remain with such law firm, with full power of substitution and revocation, to prosecute the Application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, to receive any Letters Patent, and for

one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. §3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to MaryBelle Inc. referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Douglas H. Elliott (6279.002/DHE)  
Tobor, Goldstein & Healey, L.L.P.  
1360 Post Oak Blvd., Suite 2300  
Houston, Texas 77056  
Telephone: (713) 877-1515  
Facsimile: (713) 877-1145



MARYBELLE INC.

Date: 7/14/99

By: *Kim L. Richardson*  
Name: Kim L. Richardson  
Title: President

ASSIGNMENT:

☒ Enclosed for recording  
☐ Previously recorded  
Date: \_\_\_\_\_  
Reel: \_\_\_\_\_  
Frame: \_\_\_\_\_

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Attorney Docket  
No. 6279.002/DHE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KIM L. RICHARDSON

Serial No.: 09/322,653

Group Art Unit: 2755

Filed: May 28, 1999

Examiner:

For: METHOD FOR CREATING  
INTERACTIVE ON-LINE  
MEMORIALS FOR FUNERAL HOMES



GENERAL AUTHORIZATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this document and its attachments are being deposited with the United States Postal Service, as First Class Mail, prior to the last scheduled pickup, in an envelope addressed to Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on the date below:

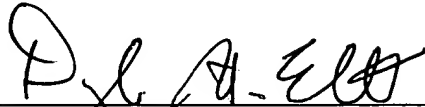
8-2-99 D. J. H. E. H.  
Date Signature

Sir:

Pursuant to 37 C.F.R. § 1.136(a)(3), Applicant authorizes the Commissioner to treat any concurrent or future reply that requires a petition for an extension of time as incorporating a petition for an extension of time for the appropriate length of time, assuming the required petition is not separately submitted with the reply. If no check is submitted with the reply, or if a check is submitted but it is insufficient to cover the required fee, then the Commissioner is authorized to charge the required fee to Deposit Account No. 20-1299, Order No. 6279.002/DHE.

Respectfully submitted,

Date: 8-2-99

  
\_\_\_\_\_

Douglas H. Elliott  
Tobor & Goldstein, L.L.P.  
1360 Post Oak Blvd., Suite 2300  
Houston, Texas 77056-3023  
(713) 877-1515  
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